

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Inventor : Wang et al.

Appln. No.: 09/960,232

Filed : September 20, 2001

For : WEB ENABLED RECOGNITION
ARCHITECTURE

Docket No.: M61.12-0389

Group Art Unit: 2153

Examiner:

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
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PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed, except as waived by the Official Gazette notice of August 5, 2003 regarding copies of U.S. Patents and Published Applications.

TIME OF FILING

An information disclosure statement is being filed by the applicant within any one of the following time periods:

1. x 1. Within three months of the filing date of a national application other than a Continued Prosecution Application (CPA);
2. Within three months of the date of entry of the National Stage international application;
3. Before the mailing date of a first Office Action on the merits, or
4. Before the mailing of a first Office Action after the filing of a Request for Continue Examination (RCE).

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The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123.

Respectfully submitted,

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SMK:dkm

FORM PTO-1449

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M61.12-0389Appl. No.:
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LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

First Named Inventor:

Scuderi

Filing Date

Group Art:

September 20, 2001

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U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	5,303,327	4/1994	Sturner et al.	704	270	
AB	5,559,897	9/1996	Brown et al.	382	186	
AC	5,566,272	10/1996	Brems et al.	704	231	
AD	5,664,061	9/1997	Andreshak et al.	704	275	
AE	5,566,272	10/1996	Brems et al.	704	231	
AF	5,664,061	9/1997	Andreshak et al.	704	275	
AG	5,737,489	4/1998	Chou et al.	704	256	
AH	5,819,220	10/1998	Sarukkai et al.	704	243	
AI	5,890,123	3/1999	Brown et al.	704	270.1	
AJ	5,960,399	9/1999	Barclay et al.	704	270.1	
AK	6,078,886	6/2000	Dragosh et al.	704	270	
AL	6,101,472	8/2000	Giangarra et al.	704	275	
AM	6,192,338	2/2001	Haszto et al.	704	257	
AN	6,240,391	5/2001	Ball et al.	704	270	

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AO	EP0854418A2	1/1998				

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AP	6,269,336	7/2001	Ladd et al.	704	270	
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AR	6,384,829	5/2002	Prevost et al.	345	473	
AS	6,385,583	5/2002	Ladd et al.	704	270	
AT	6,405,170	6/2002	Phillips et al.	704	270	
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AW	6,463,413	10/2002	Applebaum et al.	704	256.2	
AX	6,564,263	5/2003	Bergman et al.	709	231	

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AY	VoiceXML Forum, Version 1.00, March 7, 2000, pages 1-101
AZ	SpeechObjects Specification V1.0, W3C Note, November 2000
BA	McTear, Michael F., "Spoken Dialogue Technology: Enabling the Conversational User Interface", ACM Computing Surveys (CSUR), March 2002, Vol. 34, Issue 1, pp. 90-169
BB	Capra, Robert, et al. "Posters & Demos: WebContext: Remote Access to Shared Context", Proceedings of the 2001 Workshop on Perceptive User Interfaces, November 2001, pp. 1-9.
BC	Lucas, Bruce, "VoiceXML for Web-based Distributed Conversational Applications", Communications of the ACM, Vol. 43, Issue 9, September 2000, pp. 53-57
BD	Hemphill C.T. and Thrift P.R.: "Surfing the WEB by Voice" Proceedings ACM Multimedia, November 1995 (1995-11), pages 215-222.
BE	Using the HTML Converter, Sun Microsystems, June 8, 2001
BF	Mit et al., "Grammar Representation Requirements for Voice Markup Languages", W3C Working Draft, Dec. 23, 1999.

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BG	6,570,078	5/2003	Ludwig, Lester Frank	84	600	
BH	6,604,075	8/2003	Brown et al.	704	270.1	
BI	6,662,163	12/2003	Albayrak et al.	704	275	
BJ	6,689,947	2/2004	Ludwig, Lester Frank	84	721	
BK	2002/0031756	3/2002	Holtz et al.	434	362	
BL	2002/0077823	6/2002	Fox et al.	704	260	
BM	2002/0091518	7/2002	Baruch et al.	704	231	
BN	2002/0173961	11/2002	Guerra, Lisa M.	704	258	
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BP	2003/0125944	7/2003	Wohlsen et al.	704	246	
BQ	2003/0200080	10/2003	Galanes et al.	704	200	
BR	6,604,075	8/2003	Brown et al.	704	270.1	
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BT	6,785,649	8/2004	Hoory et al.	704	235	

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